



**Duke University &
Duke University Medical Center
Animal Care & Use Program**



**POLICY ON
WHEN AN ANIMAL USE PROTOCOL IS REQUIRED
(or when a protocol is not required)**

PERFORMANCE STANDARD: All animal use must be performed in an appropriate manner with IACUC oversight and appropriate use of analgesics, anesthetics, or environmental enhancements to limit or prevent animal discomfort. The institution is obligated under its federal registration and accreditations to oversee animal use that meets certain criteria.

REFERENCES:

1. United States Department of Agriculture, 9 CFR Parts 1, 2, and 3. Animal Welfare.
2. PHS Policy on the Care and Use of Laboratory Animals, OPRR, 1996.
3. OLAW Web Site: <http://grants.nih.gov/grants/olaw/>
4. AAALAC Accreditation Guidelines: <http://www.aaalac.org>

BACKGROUND: Animal protocols are not always required. Certain uses of animals do not fall under the purview of the IACUC. For example, the use of cast-off animal tissues, where the animal was euthanized for another purpose, does not require a protocol (There is a separate policy for use of animal tissues. Visit the Duke Animal Program Website for more details).

ROLES: Duke researchers should alert the IACUC/OAWA of their desire to collaborate with scientists on other campuses (animal related research). This will enable the IACUC/OAWA to determine what regulatory / education resources would be helpful in the proposed endeavor, and whether a Duke animal use protocol is necessary.

POLICY:

1. Conditions that do not require a Duke IACUC approved protocol:
 - a. No Duke funds are being used to purchase or support the animals being used, where the animals are not on the Duke campus (any animal on a Duke campus, regardless of funding source, requires a Duke approved protocol);
 - b. No Duke owned animals (purchased or bred on behalf of Duke) are being used; and
 - c. The proposed activities are approved by the other institution's IACUC.
2. To avoid potential animal welfare violations or funding agency restrictions, it is recommended that the Duke researcher(s) provide a brief memo (< 250 words) to the IACUC/OAWA that includes:
 - a. The name of the institution that will oversee the work which will be conducted;
 - b. The species that will be used;
 - c. The desirable numbers of animals (estimate) that will be used;
 - d. A short description of the planned activity;
 - e. A letter / email from the institution's animal use office that this activity falls under their oversight.
3. The IACUC/OAWA will record the memo. No further action is required.

NOTE: None of the work performed under the conditions of this policy can be reported in any future publication(s) as approved by the Duke IACUC.