COLLABORATING INSTITUTIONS

PERFORMANCE STANDARD: Duke assures all research is conducted in an appropriate and legal manner when collaborating with other institutions.

BACKGROUND: The NIH Office of Laboratory Animal Welfare (OLAW) has clarified the roles that Duke may assume when participating with other institutions in PHS-assured collaborative research. Duke University believes collaborative activities are beneficial to successful research endeavors.

POLICY: This Policy provides guidance for situations where Duke researchers desire to have collaborative activity involving animals performed at institutions other than Duke.

1. The Office of Animal Welfare Assurance (OAWA) shall serve as the coordinating agency for the Duke animal program. The OAWA shall partner with the Duke grants management activities (Office of Research Administration for the medical center and the Office of Research Support for the university) to assure all necessary requirements have been met prior to authorizing animal care or use in a collaborative arrangement.

2. Options for collaborative work when PHS funds are involved, and:

   i. Duke is the primary grantee of PHS funds which shall be used at a subordinate PHS-assured Institution: Duke shall review/approve a protocol. The subordinate institution may also require a protocol be reviewed/approved at their institution. An MOU between the two institutions shall be established identifying the responsibilities/obligations of each institution.

   ii. Duke is the primary grantee of PHS funds that shall be used at a non-assured subordinate institution: Duke will not generally extend its PHS Assurance to subordinate

3. Options for collaborative work when non-PHS funds are involved, and Duke is the primary grantee of non-PHS funds which shall be used at the other institution or Duke is the subordinate institution: Duke shall review/approve a protocol. The other institution may also require a protocol be reviewed/approved at their institution. An MOU between the two institutions shall be established identifying the responsibilities/obligations of each institution.

4. Other Collaborations are possible and requirements (i.e., MOU) will be handled on a case-by-case basis by OAWA based on the nature of the collaboration and funding requirements.

5. Forms: MOU forms can be found on the Duke Animal Program website, under FORMS